

## 1701 Business Oversight

## FUND CONDITION STATEMENTS

	2012-13*	2013-14*	2014-15*
<b>0067 State Corporations Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$60,146	\$57,929	\$32,860
Prior year adjustments	2,128	-	-
Adjusted Beginning Balance	\$62,274	\$57,929	\$32,860
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	29,848	27,907	36,173
125800 Renewal Fees	7,611	6,518	6,518
125900 Delinquent Fees	1	-	-
141200 Sales of Documents	4	2	2
142500 Miscellaneous Services to the Public	6	1	1
150300 Income From Surplus Money Investments	134	200	200
161000 Escheat of Unclaimed Checks & Warrants	7	1	1
163000 Settlements/Judgments(not Anti-trust)	1,365	588	588
164300 Penalty Assessments	61	108	108
Transfers and Other Adjustments:			
TO0001 To General Fund per Item 1701-011-0067, Budget Act of 2013	-	-15,000	-
Total Revenues, Transfers, and Other Adjustments	\$39,037	\$20,325	\$43,591
Total Resources	\$101,311	\$78,254	\$76,451
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	-	195	265
0840 State Controller (State Operations)	26	-	-
1701 Business Oversight (State Operations)	-	44,992	48,257
2180 Department of Corporations (State Operations)	43,133	-	-
8880 Financial Information System for California (State Operations)	223	207	37
Total Expenditures and Expenditure Adjustments	\$43,382	\$45,394	\$48,559
FUND BALANCE	\$57,929	\$32,860	\$27,892
Reserve for economic uncertainties	57,929	32,860	27,892
<b>0240 Local Agency Deposit Security Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$438	\$347	\$221
Prior year adjustments	-3	-	-
Adjusted Beginning Balance	\$435	\$347	\$221
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
121200 Other Regulatory Taxes	273	273	273
150300 Income From Surplus Money Investments	2	2	2
164300 Penalty Assessments	15	15	15
Total Revenues, Transfers, and Other Adjustments	\$290	\$290	\$290
Total Resources	\$725	\$637	\$511
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	-	2	1
0840 State Controller (State Operations)	1	-	-
1701 Business Oversight (State Operations)	-	412	415
2150 Department of Financial Institutions (State Operations)	375	-	-
8880 Financial Information System for California (State Operations)	2	2	-

\* Dollars in thousands, except in Salary Range.

**1701 Business Oversight**

	<b>2012-13*</b>	<b>2013-14*</b>	<b>2014-15*</b>
Total Expenditures and Expenditure Adjustments	<u>\$378</u>	<u>\$416</u>	<u>\$416</u>
FUND BALANCE	\$347	\$221	\$95
Reserve for economic uncertainties	347	221	95
<b>0298 Financial Institutions Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$11,547	\$10,559	\$9,708
Prior year adjustments	<u>30</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$11,577	\$10,559	\$9,708
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
121200 Other Regulatory Taxes	22,656	22,657	22,657
125700 Other Regulatory Licenses and Permits	118	125	125
150300 Income From Surplus Money Investments	57	74	74
161400 Miscellaneous Revenue	1,941	2,789	2,789
163000 Settlements/Judgments(not Anti-trust)	<u>90</u>	<u>-</u>	<u>-</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$24,862</u>	<u>\$25,645</u>	<u>\$25,645</u>
Total Resources	\$36,439	\$36,204	\$35,353
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	-	96	131
0840 State Controller (State Operations)	21	-	-
1701 Business Oversight (State Operations)	-	26,280	26,420
2150 Department of Financial Institutions (State Operations)	25,730	-	-
8880 Financial Information System for California (State Operations)	<u>129</u>	<u>120</u>	<u>21</u>
Total Expenditures and Expenditure Adjustments	<u>\$25,880</u>	<u>\$26,496</u>	<u>\$26,572</u>
FUND BALANCE	\$10,559	\$9,708	\$8,781
Reserve for economic uncertainties	10,559	9,708	8,781
<b>0299 Credit Union Fund <sup>s</sup></b>			
BEGINNING BALANCE	\$1,720	\$1,683	\$2,915
Prior year adjustments	<u>10</u>	<u>-</u>	<u>-</u>
Adjusted Beginning Balance	\$1,730	\$1,683	\$2,915
<b>REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS</b>			
Revenues:			
121200 Other Regulatory Taxes	7,193	7,487	7,487
125700 Other Regulatory Licenses and Permits	18	17	17
150300 Income From Surplus Money Investments	18	22	22
Transfers and Other Adjustments:			
FO0001 From General Fund loan repayment per Item 2150-011-0299, Budget Act of 2002	<u>-</u>	<u>1,350</u>	<u>1,350</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$7,229</u>	<u>\$8,876</u>	<u>\$8,876</u>
Total Resources	\$8,959	\$10,559	\$11,791
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	-	30	40
0840 State Controller (State Operations)	6	-	-
1701 Business Oversight (State Operations)	-	7,580	7,604
2150 Department of Financial Institutions (State Operations)	7,233	-	-
8880 Financial Information System for California (State Operations)	<u>37</u>	<u>34</u>	<u>6</u>
Total Expenditures and Expenditure Adjustments	<u>\$7,276</u>	<u>\$7,644</u>	<u>\$7,650</u>
FUND BALANCE	\$1,683	\$2,915	\$4,141

\* Dollars in thousands, except in Salary Range.

1701 Business Oversight

	2012-13*	2013-14*	2014-15*
Reserve for economic uncertainties	1,683	2,915	4,141

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